

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	9390945
<b>Application Number:</b>	09516792
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	2856
<b>Title of Invention:</b>	METHOD AND APPARATUS FOR TRADING SECURITIES OR OTHER INSTRUMENTS ON BEHALF OF CUSTOMERS
<b>First Named Inventor/Applicant Name:</b>	Steven H. M. Wallman
<b>Customer Number:</b>	26646
<b>Filer:</b>	Brian S. Mudge/Matthew Kuspa
<b>Filer Authorized By:</b>	Brian S. Mudge
<b>Attorney Docket Number:</b>	10392/46701
<b>Receipt Date:</b>	07-FEB-2011
<b>Filing Date:</b>	01-MAR-2000
<b>Time Stamp:</b>	16:41:54
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$130
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Certificate of Correction	10392-46701_CertofCorrection-Inventorship.pdf	174482 b3a50d485a95575c7f773c071744b79006c27100	no	7

## Warnings:

## Information:

2	Fee Worksheet (PTO-875)	fee-info.pdf	30512 c032aec1f3a521e6760251e80b89fc3563e1bd9	no	2
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## Warnings:

## Information:

Total Files Size (in bytes):	204994
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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.